Race in Higher Education: Analyzing the SCOTUS Opinion on Race-Conscious Admissions and Charting the Way Forward for DEI Professionals and Institutions

A National Webinar August 30, 2023



NADOHE

Panelists



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Introductions & Overview

Segment	Time
Introductions & Overview	10′
Meeting the Moment : A Time for Leadership	10'
The Legal Landscape : The Racial Status-Experience Divide	20′
Policy and Practice Implications: Admissions; Aid & Scholarships, Outreach, Recruitment & Pathways; and Affinity Groups+	20′
Reflections and Questions	25'
Wrap-Up	5′

The Supreme Court's SFFA Decision U.S.
Departments
of Justice and
Education
Q/As

U.S.
Department of
Education
OCR Race and
School
Programming

Preliminary Analysis on Which Presentation is Based

EducationCounsel

Policy | Strategy | Law | Advocacy

Preliminary Guidance Regarding the U.S. Supreme Court's Decision in SFFA v. Harvard and SFFA v. UNC July 6, 2023

This preliminary working draft has been developed to provide initial guidance regarding the Supreme Court's SFFA opinions. We affer this draft, directional guidance to support understanding and leadership within the higher education community in light of immediate enrollment issues with which institutional leaders are grappling. Given the complexity of the decision and the issues implicated, this draft will be reviewed and supplemented in the coming weeks!

INTRODUCTION AND OVERVIEW

On June 29, 2023, the U.S. Supreme Court issued its decision in the Students for Foir Admissions
w. Harvard/JMC cases regarding the universities' consideration of race as one factor among
many in undergraduate admissions to advance their interests in promoting the educational
benefits of diversity for all students. In a consolidated opinion (reflecting a 6-2 decision in the
Harvard case, with Justice Jackson recused; and a 6-3 decision in the UNC case) the Court ruled
that Harvard's and UNC's admissions programs violated federal non-discrimination law. ² While
the Court did not expressly overrule past decisions that established a framework permitting the
limited consideration of an applicant's race to advance diversity interests, it significantly
undercut that precedent to a point of eviscerating its continuing vitality—impeding efforts at
many institutions to fully advance the research- and experience-demonstrated benefits of
diversity that inure to all students in terms of educational, workforce, and civic aims.

This initial analysis provides a synthesis of the key points of the majority's opinion in the two cases, followed by important actions for higher education institutions and leaders to take, which include policy and practice implications derived from the Court's ruling. As part of the synthesis, we also offer commentary on each of the key segments of the Court's opinion that

¹ Nothing in this document should be construed as providing institution, organization, or individual-specific legal advice. This guidance has been prepared to provide information to inform those conversations and judgments, which are inherently fact- and consent-specific.

More than Admission: The Role of the CDO

Systems Design and Influence

Enrollment policy/practice & programs

Student affairs policy/practice & programs

Curricular and program offerings

Communications and Stakeholder Engagement

Mission-related DEIJ goals and objectives

Your IHE story successes, challenges, future aims Strategy Development and Leadership

The Narrative of Leadership

Developing the
Evidence
Regarding
Benefits and
Impact



The views and perspectives today...
NOT legal advice.

Consult with your institution's legal counsel on all policy/program issues.

Part 1—
Meeting the
Moment: A
Time for
Leadership





Opinion

By gutting Affirmative Action, the Supreme Court makes it easier to kill diversity | Opinion

OPINION

Affirmative Action Is Finally Dead. It's Time for Real Equality | Opinion

Affirmative Action Is Radical Supreme Court's Latest Casualty

Affirmative-action ruling gives us a blank slate to plot a path forward to equality | Opinion

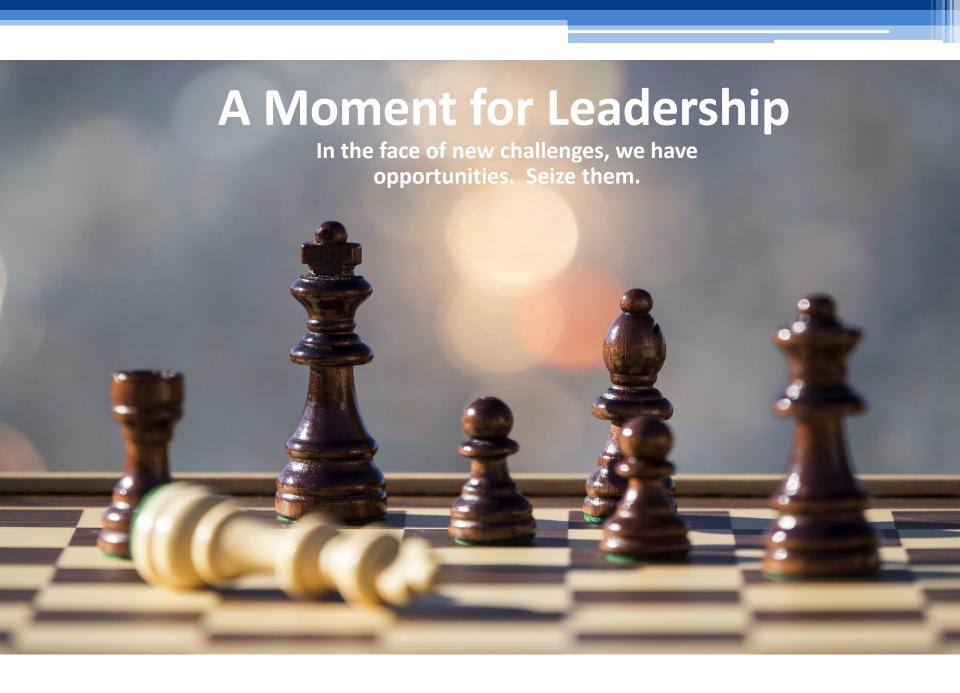
Bakke (1978)

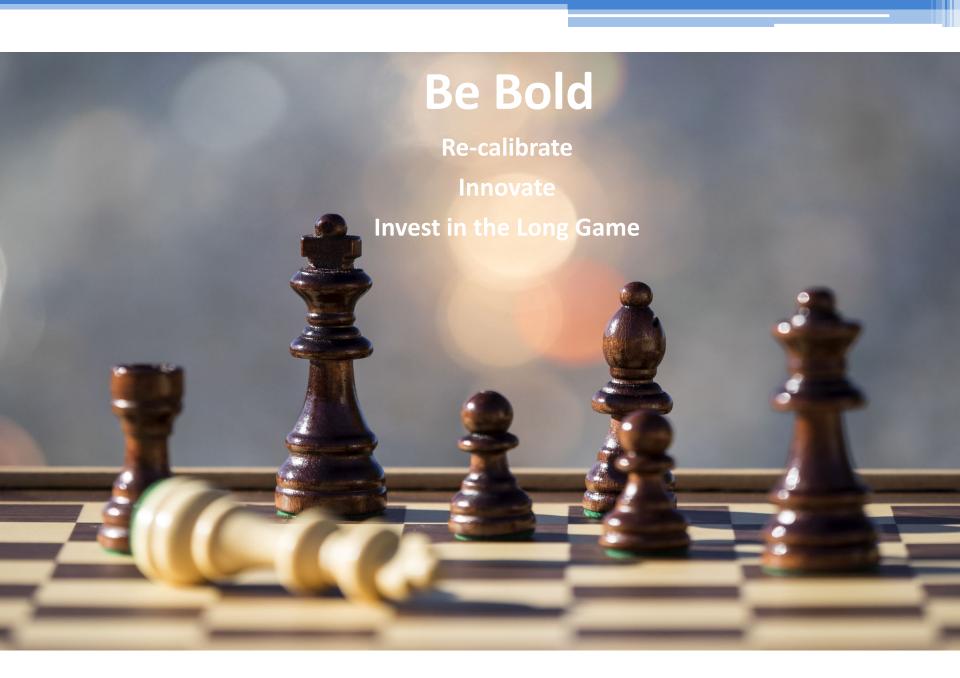
A higher education loss from which major gains were achieved

Bakke (1978)

A higher education loss from which major gains were achieved

SFFA (2023)
A higher education loss...





"Political Strict Scrutiny"— Anti-DEI Bills Have Been Introduced In 35 States



Attacks Already Launched in Response



June 30, 2023

Dean John F. Manning Harvard University Law School Wasserstein Hall, Suite 5027 1585 Massachusetts Avenue Cambridge, MA 02138

Dear Dean Manning:

Yesterday, the Supreme Court declared racial preferences illegal in higher education. I write to inform you of the consequences that you and your institution will face if you fail to comply with or attempt to circumvent the Court's ruling.

It is unlawful for Harvard University Law School to flout the Constitution and the unambiguous command of Title VI by admitting students with lower LSAT scores and academic credentials than those demanded of others based on their race, sex, or national origin. It is unlawful for your school to violate Title VI. Title VII, and Title IX in its faculty hiring by discriminating in favor of female and minority faculty candidates at the expense of others. It is unlawful for your school to allow their student-run journals to give discriminatory preferences to women and minorities in membership and article selection.

You must immediately announce the termination of all forms of race, national origin, and sex preferences in student admissions, faculty hiring, and lawreview membership or article selection. And you must, before the start of the next academic school year, announce an official policy that prohibits all components of the law school from giving preferential treatment to anyone because of that individual's race, national origin, or sex.

There are those within and outside your institutions who will tell you that you can develop an admissions scheme through pretext or proxy to achieve the same discriminatory outcome. Anyone telling you such a thing is coaching you to engage in illegal conduct in brazen violation of a Supreme Court ruling, lawbreaking in which you would be fully complicit and thus fully liable.

University of Missouri system to end racebased scholarships after Supreme Court ruling

Erin Heffernan , Annika Merrilees 🛭 Jun 30, 2023 🔍 81

After gutting affirmative action, Republicans target minority scholarships

The Wisconsin State Assembly's speaker suggested college grants meant for minority students are on the chopping block.

Some Colleges Will No Longer Consider Race in Awarding Student **Scholarships**

By Kate Hidalgo Bellows | JUNE 30, 2023

United States Senate

WASHINGTON, DC 20510

July 6, 2023

Mr. Christopher Eisgruber Dr. Lawrence Bacow President Princeton University Harvard University 1 Nassau Hall Massachusetts Hall Princeton, NJ 08544 Cambridge, MA 02138

Dear College and University Presidents:

I write to express concern about your institutions' openly defiant and potentially unlawful reaction to the Supreme Court's landmark decision in Students for Fair Admissions, Inc. v. President and Fellows of Harvard College, 1 which reaffirmed the bedrock constitutional principle of equality under the law and therefore forbade invidious race-based preferences in college admissions. As you know, the Court has instructed you to honor the spirit, and not just the letter, of the ruling. Going forward, the Court explained, "universities may not simply establish through application essays or other means the regime we hold unlawful today."2

However, within hours of the decision's pronouncement, you and your institutions expressed open hostility to the decision and seemed to announce an intention to circumvent it. Statements along these lines are particularly disconcerting in light of recent revelations that proponents of unlawful affirmative action sometimes practice "unstated affirmative action," in which hiring and admissions decisions are made on the basis of race in a covert and unspoken way, even when the relevant decisionmaker is placed under oath in a deposition.3 Below, I have highlighted a few alarming excerpts from your responsive statements:

· Princeton President Eisgruber complained that the Court's decision was "unwelcome and disappointing" and vowed to pursue "diversity . . . with energy, persistence, and a determination to succeed despite the restrictions imposed by the Supreme Court in its regrettable decision today."

⁶⁰⁰ U.S. (2023).

³ Christopher F. Rufo @realchrisrufo, Twitter (June 29, 2023), https://twitter.com/realchrisrufo/status/1674548940522549248

Part 2— The Legal Landscape





The Court's Ruling: In a Nutshell

Harvard and UNC may not consider an applicant's racial status in admissions to advance the educational benefits of diversity.

Harvard's and UNC's Fatal Flaws:

- No compelling interest
- Racial "classifications" = stereotypes
- No definitive end date

New Rules: What's Changed

Compelling Interest

- The educational benefits of diversity (previously recognized) are no longer compelling to justify race-conscious action.
- Too "amorphous" & "elusive." | Not "sufficiently measurable" or "coherent."

Negative Effect/ Stereotypes

- The "classification" of race was "used as a negative" and impermissibly "stereotyped" applicants based on race.
- College admissions are "zero-sum." Admission involved "preferences on the basis of race alone"

End Point in Time

- Admissions programs "lacked a logical end point."
- Process of periodic review isn't sufficient for satisfying durational requirement; need specific end point to the consideration of racial status

The Majority Opinion

"Universities may define their missions as they see fit."

The Majority Opinion

"[N]othing in this opinion should be construed as prohibiting universities from considering an applicant's discussion of how race affected his or her life, be it through discrimination, inspiration or otherwise."

The "touchstone of an individual's identity [must be with respect to] challenges bested, skills built, or lessons learned"—not "the color of their skin."

New Rules for Admission Officers: What's Changed

No: Racial Status

 Admissions officials may not value or give preferences to an applicant because of their racial or ethnic status.

Yes:
Valued
Qualities
Associated
with Racial
Experience

Admissions officials may consider an applicant's background—their experiences, characteristics, and aspirations that may be related to the mission-aligned qualities that they seek with respect to all students—which may include information about the applicant's racial identity tied to experience, perspectives and goals.

What the Court Did Not Address

Scholarships and Financial Aid

- Potential distinction with admissions: Not "zero-sum"
- If race conscious (status), need compelling interest.
- Design options to consider include: Pool and Match.

Outreach and Recruitment

- Inclusive practices still viable, even with focus on racial diversity.
- More latitude than, e.g., admissions and aid

Pipeline and Pathways Programs

- Inclusive practices still viable, even with focus on racial diversity.
- More latitude than, e.g., admissions and aid

Data Collection

• No prohibition on collection, by race, for research, evaluation, and reporting purposes

Employment

• Title VII regime is remedial—unlike educational benefits of diversity.

Race-Neutral Strategies

- The new landscape.
- Authenticity of mission-aligned interests an imperative. No to proxies.

U.S. Department of Justice and Education Guidance Documents



taken swift action to support our Nation's colleges and universities so they can continue building pathways to upward mobility and

In light of the SFFA decision, the Departments today issued a Dear Colleague Letter and a Questions and Answers resource to help

"Even after the Court's decision sharply limited a tool that colleges and universities with selective admissions practices have used to

create vibrant, diverse campus communities, colleges and universities may still lawfully work to admit, support, retain, and graduate racially diverse student bodies," said Assistant Secretary for Civil Rights at ED Catherine E. Lhamon. "Today's resources explain

characteristics, including race. We remain firmly committed to equitable educational opportunities for all students, and ensuring that students of color are not denied opportunities to participate in the robust exchange of ideas and experiences that are the keystone of

college and university life," said Assistant Attorney General for Civil Rights Kristen Clarke. "How an applicant's racial identity – as well as other aspects of their social identity – impact their development, goals, or educational interests remain important considerations in

"Educational institutions must ensure that their admissions practices do not create barriers for students based on any protected

practices that remain legally viable and confirms the Federal government's robust civil rights protections in schools."

colleges and universities understand the Court's decision as they continue to pursue campuses that are racially diverse and that

preparing students from all backgrounds to thrive in our workforce.

university admission

include students with a range of viewpoints, talents, backgrounds, and experiences.

U.S. Department of Education

Student Loans

Grants

Laws

U.S. Department of Education's Office for Civil Rights Issues Resource on Race and School Programming

AUGUST 24, 2023

Contact: Press Office. (202) 401-1576, press@ed.gov

Today, the U.S. Department of Education's Office for Civil Rights (OCR) released a <u>Dear Colleague Letter</u> (DCL) on Race and School Programming to guide schools on lawful programs to promote racially inclusive school communities. This resource clarifies the circumstances under which schools can – consistent with Title VI of the Civil Rights Act of 1964 and its implementing regulations – develop curricula and programs or engage in activities that promote racially inclusive school communities.

Title VI, a law enforced by OCR, prohibits discrimination based on race, color, or national origin in programs or activities receiving federal financial assistance, including public schools serving students in pre-K through grade 12 and colleges and universities.

"Today's resource shares with school communities practical guidance about whether and when federal civil rights laws permit – and in some cases require – schools to take actions related to race, as well as whether and when these same laws may require that schools not act based on race," said Assistant Secretary for Civil Rights Catherine E. Lhamon. "This resource aims to assist our nation's schools to fulfill Congress' longstanding promise that no student experience discrimination based on race."

The DCL includes hypothetical examples to help schools assess their Title VI legal obligations and protections concerning schoolspensored curricula and programming. This resource clarifies circumstances under which schools may be in violation of Title VI when they separate students based on race or treat individual students or groups of students differently based on race. It clarifies that schools may also violate Title VI when they create, encourage, accept, tolerate, or fail to correct a racially hostile educational environment.

Additionally, the DCL underscores that Title VI generally does not restrict schools from holding assemblies, meetings, focus groups, or listening sessions that relate to students' experiences with race in their school or community. The resource also states that school-sponsored or recognized groups or programs with a special emphasis on race, such as a student club or mentorship opportunity, that are open to all students, typically do not violate Title VI simply because of a race-related theme.

This letter follows the release of a January 2023 OCR <u>Diversity & Inclusion Activities Under Title VI</u> fact sheet that confirmed for educators, parents, and students that diversity, equity, and inclusion training and similar activities generally are consistent with Title VI.

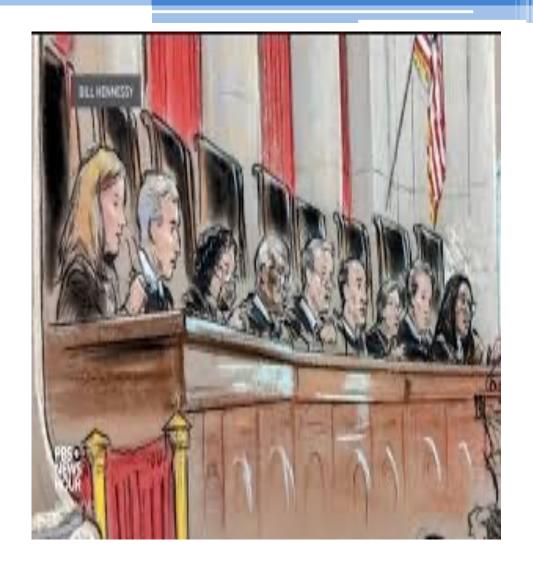
OCR is available to provide technical assistance to institutions, organizations, and members of the public that request assistance in complying with any aspect of the civil rights laws OCR enforces, including those issues addressed in the resource.

If individuals within a school community have questions or would like technical assistance, they may contact the OCR office serving their state or territory by visiting https://ocr.as.ed.gov/contact-ocr.

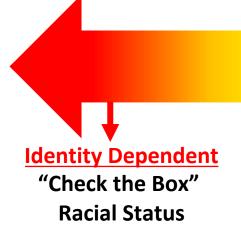
Check-in: Points of Clarification



Part 3—
Policy and
Practice
Implications



The Race Continuum: The Relevance of Race In Applications



Race-Neutral,
Experience-Related
Qualities

Qualities associated with experience of race in a person's own life journey relevant to mission

Race-Neutral Qualities:
Subject, Expertise, or Action

Issues of race or equity as subject of actions of commitment or knowledge relevant to mission

Admissions

Holistic Review

- Do not consider racial status of applicant
- Consider mission-aligned skills, knowledge, and character qualities associated with actual student experience of race

Essay Questions and Interviews

• Essay questions and interviews are important avenues to elicit mission-aligned information about skills, knowledge and character qualities

Monitoring Class Composition

- It is wise to assure complete insulation of information regarding rolling admissions trends by race from decision-makers involved in selection
- Nothing prohibits collection of disaggregated data for research, evaluation, reporting purposes, etc.

Shaping the Class

- Not specifically addressed, but potentially problematic to 'shape' class with categorical focus on applicant's racial status
- Consider new models, including race-neutral DEI factors derived from holistic review analysis

Financial Aid and Scholarships

Big Picture

- Financial aid and scholarship decisions will be informed and shaped by principles in Court's opinion
- Arguable distinction: scholarships do not operate as "zero-sum game"
- But, even there, challenge on racial status-based awards remain: what compelling interest?

Key Strategy

- Consider full array of neutral factors, including adaptation of the "experience associated with race" model for aid awards
- Focus: skills, knowledge, character qualities associated with race

Design Option

• For privately endowed scholarships: Pooling and Matching

Outreach, Recruitment, and Pathways Programs

Big Picture

 The Court's decision did not address or change what is permissible in terms of recruitment: "Inclusive" programs should remain strategic focus

General Direction

- The subset of recruitment programs that confer a tangible/material benefits on students may be subject to strict scrutiny
- Where selectivity is relevant, consider neutral factors, including qualities associated with experience

Design Options

 Consider clustering similarly focused/designed programs under one "umbrella" with themed components

Affinity and Other Related Groups

Big Picture

• The Court's decision did not address or change what is permissible in terms of student groups: Topics and themes may relate to issues of race and ethnicity, but participation should be open to all.

General Direction

Ensure that rules establish openness to all students participating

USED OCR Guidance

Important Title VI principles and illustrations help frame what's permissible

A Framework to Promote Access, Diversity, and Completion



Targeted Recruitment,
Outreach and
Pathways



Improved Admissions,
Affordability and
Enrollment



Support for Student Belonging and Completion

Remove Barriers and Enhance Opportunities
Aligned and Integrated, Enhancing Equitable Learning Environments

Key Issues Regarding Access, Diversity, and Completion

Recruitment, Outreach, and Pathways

- Under-resourced high schools & school districts
- College access organizations
- Community colleges
- Pathways & bridge programs
- Dual enrollment
- Pre-college counseling
- Labor and financial commitments

Admissions, Affordability, & Enrollment

- New admissions models
- Legacy preferences
- Early Action/Decision practices
- Standardized tests
- Transparency & alignment of admissions decisions & financial aid
- Need-based aid programs
- Streamlining transfer

Student Belonging and Completion

- Advising, mentorship, co-curricular engagements
- Emergency financial aid
- Students' basic needs
- Culturally-relevant classroom pedagogies
- Campus climate assessments
- Improved data infrastructure for timely academic, social, and financial interventions

A (Legally) "Race-Neutral" Landscape

Iderstanding the Aole of Race-Neutral Strategies in Advancing Higher Education Diversity Goals

"Race-Neutral" Strategies

- Authentic
- Breadth of Enrollment Policy/Practice
- Secondary +DEI Effects

Mission-Focused, Aligned and Integrated

Chief Justice Roberts During October, 2022 Oral Argument



"[If the practice of checking the box is taken away,] maybe there will be an incentive for the university to, in fact, truly pursue race-neutral alternatives, such as, you know, allowing...applicants to indicate experiences they have had because of their race."

Key Strategies and Action Steps

Engagement	Engage early with key stakeholders on directional shifts and expected impact
Research & Data	Ground decisions in institutional experience and general research (key data, trends, projections)
Policy Decisions	Make changes re: compliance/legal risk and impact
Documentation	Assure policy clarity regarding any DEI element of policy and practice, with focus on clear distinction between status and experience-related qualities
Training	Develop training materials (guides, tools, power points) that align with/track policy articulation
Communications	Assure that key stakeholders know of policy decisions and directions; and all public discussion of policy/practice (including web site) reflects alignment.

Parting Thoughts

[S]tudent body diversity promotes learning outcomes, and "better prepares students for an increasingly diverse workforce and society, and better prepares them as professionals."

These benefits are not theoretical but real [:] the skills needed in today's increasingly global marketplace can only be developed through exposure to widely diverse people, cultures, ideas, and viewpoints.

The diffusion of knowledge and opportunity through public institutions of higher education must be accessible to all individuals regardless of race or ethnicity.

"[N]owhere is the importance of such openness more acute than in the context of higher education." ... Effective participation by members of all racial and ethnic groups in the civic life of our Nation is essential if the dream of one Nation, indivisible, is to be realized.

Check-in: Points of Clarification



Part 3— Reflections and Questions



Thank you for attending this Webinar To view the recording please visit www.nadohe.org/stories/webinars



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